Date of Original Judgment: <u>July 17, 2009</u>

(Or Date of Last Amended Judgment)

Reason for Amendment:

- [] Correction of Sentence on Remand (Fed.R.Crim.P.35(a))
- [] Reduction of Sentence for Changed Circumstances (Fed. R.Crim.P.35(b))
- [] Correction of Sentence by Sentencing Court (Fed.R.Crim.P.35(c)
- [x] Correction of Sentence for Clerical Mistake (Fed.R.Crim.P.36) Correcting date for self surrender
- [] Modification of Supervision Conditions (18 U.S.C § 3563(c) or 3583(e))
- [] Modification of Imposed Term of Imprisonment for Extraordinary and Compelling Reasons (18 U.S.C. §3582(c)(1))
- [] Modification of Imposed Term of Imprisonment for Retroactive Amendment(s) to the Sentencing Guidelines (18 U.S.C. § 3582(c)(2))
- [] Direct Motion to District Court Pursuant to [] 28 U.S.C. § 2255, [] 18 U.S.C. § 3559(c)(7), or [] Modification of Restitution Order

United States District Court Northern District of California

UNITED STATES OF AMERICA

v. JOHN JOSEPH COTA

JUDGMENT IN A CRIMINAL CASE

USDC Case Number: CR-08-00160-001 SI BOP Case Number: DCAN308CR000160-001

USM Number: NONE

Defendant's Attorney :Jeffrey L. Bornstein

THE DEFENDANT:

[x]	pleaded guilty to count(s): 3 and 4 of the Indictment.
[]	pleaded nolo contendere to count(s) which was accepted by the cou
[]	was found guilty on count(s) after a plea of not guilty.

The defendant is adjudicated guilty of these offense(s):

Title & Section	Nature of Offense	Offense <u>Ended</u>	Count
33:1319(c)(1)(A), 1321(b)(3)	Negligent Discharge of a Pollutant	11/07	3
16:703 & 707(a)	Migratory Bird Treaty Act	11/07	4

The defendant is sentenced as provided in pages 2 through $\underline{7}$ of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

r	. 1	The defendant has been found not guilty on count(s)
ı	1	r ne defendant has been found hot guilty on counits)

[X] Count(s) 1 & 2 are dismissed on the motion of the United States.

IT IS ORDERED that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States attorney of any material changes in economic circumstances.

July 17, 2009
Date of Imposition of Judgment
Suran Maton
Signature of Judicial Officer
Honorable Susan Illston, U. S. District Judge
Name & Title of Judicial Officer
7/29/09
Date

AO 245B (Rev. 12/03) (CAND Rev. 3/07) Judgment in a Criminal Case Sheet 2 - Imprisonment

JOHN JOSEPH COTA **DEFENDANT:** CASE NUMBER: CR-08-00160-001 SI

Judgment - Page 2 of 7

IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of 10 months.

	month term of imprisonment is imposed as to Count 3 and a 6 month term of imprisonment is imposed as and 4. The terms of imprisonment shall run concurrent.		
[x] The de	The Court makes the following recommendations to the Bureau of Prisons: efendant shall be designated to the camp facility at Lompoc.		
[]	The defendant is remanded to the custody of the United States Marshal. The appearance bond is hereby exonerated.		
[]	The defendant shall surrender to the United States Marshal for this district.		
	[] at [] am [] pm on [] as notified by the United States Marshal.		
	The appearance bond shall be deemed exonerated upon the surrender of the defendant.		
[x]	The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:		
	 [x] on or before 2:00 pm on September 18, 2009. [] as notified by the United States Marshal. [] as notified by the Probation or Pretrial Services Office. 		
	The appearance bond shall be deemed exonerated upon the surrender of the defendant.		
T 1	RETURN		
I have	executed this judgment as follows:		
	Defendant delivered on to		
at	, with a certified copy of this judgment.		
	UNITED STATES MARSHAL		
	By		

AO 245B (Rev. 12/03) Judgment in a Criminal Case Sheet 3 - Supervised Release

DEFENDANT: JOHN JOSEPH COTA Judgment - Page 3 of 7

CASE NUMBER: CR-08-00160-001 SI

SUPERVISED RELEASE

Upon release from imprisonment, the defendant shall be on supervised release for a term of 1 year.

A 1 year term of supervision is imposed as to Counts 3 and 4. The terms shall run concurrent.

The defendant must report to the probation office in the district to which the defendant is released within 72 hours of release from the custody of the Bureau of Prisons.

The defendant shall not commit another federal, state or local crime.

The defendant shall not unlawfully possess a controlled substance. The defendant shall refrain from any unlawful use of a controlled substance. The defendant shall submit to one drug test within 15 days of release from imprisonment and two periodic drug tests thereafter.

- [] The above drug testing condition is suspended based on the court's determination that the defendant poses a low risk of future substance abuse. (Check if applicable.)
- [] The defendant shall not possess a firearm, ammunition, destructive device, or any other dangerous weapon. (Check if applicable.)
- [] The defendant shall cooperate in the collection of DNA as directed by the probation officer. (Check if applicable.)
- [] The defendant shall register with the state sex offender registration agency in the state where the defendant resides, works, or is a student, as directed by the probation officer. (Check if applicable.)
- [] The defendant shall participate in an approved program for domestic violence. (Check if applicable.)

If this judgment imposes a fine or restitution, it is a condition of supervised release that the defendant pay in accordance with the Schedule of Payments sheet of this judgment.

The defendant must comply with the standard conditions that have been adopted by this court as well as with any additional conditions in this judgment.

STANDARD CONDITIONS

- 1) The defendant shall not leave the judicial district without permission of the court or probation officer;
- 2) The defendant shall report to the probation officer, and shall submit a truthful and complete written report within the first five days of each month;
- 3) The defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
- 4) The defendant shall support his or her dependants and meet other family responsibilities;
- 5) The defendant shall work regularly at a lawful occupation, unless excused by the probation officer for schooling, training, or other acceptable reasons;
- 6) The defendant shall notify the probation officer at least ten days prior to any change in residence or employment;
- 7) The defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any controlled substance or any paraphernalia related to any controlled substances, except as prescribed by a physician;
- 8) The defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered;
- 9) The defendant shall not associate with any persons engaged in criminal activity, and shall not associate with any person convicted of a felony unless granted permission to do so by the probation officer;
- 10) The defendant shall permit a probation officer to visit him or her at any time at home or elsewhere, and shall permit confiscation of any contraband observed in plain view of the probation officer;
- 11) The defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;
- 12) The defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the Court; and
- 13) As directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics, and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.

 Deputy United States Marshal

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AO 245B (Rev. 12/03) Judgment in a Criminal Case Sheet 3 - Supervised Release

DEFENDANT: JOHN JOSEPH COTA Judgment - Page 4 of 7

CASE NUMBER: CR-08-00160-001 SI

SPECIAL CONDITIONS OF SUPERVISION

1) The defendant shall perform 200 hours of community service as directed by the probation officer.

2) The defendant shall not seek renewal of his Coast Guard licenses prior to January 2010. Should his licenses be returned or renewed during the term of supervised release, the defendant shall not use his Coast Guard issued "First Class Pilot" and/or "Master of Motor or Steam Vessels of not more than 1600 Gross Tons" licenses during the period of supervised release.

AO 245B (Rev. 12/03) - Judgment in a Criminal Case - sheet 6 - Schedule of Payments

DEFENDANT: JOHN JOSEPH COTA Judgment - Page 5 of 7

CASE NUMBER: CR-08-00160-001 SI

CRIMINAL MONETARY PENALTIES

	CRIMINAL MONETART FENALTIES					
	The defendant must pay the total	criminal monetary pen <u>Assessment</u>	alties under the sche <u>Fine</u>	dule of payments on Sheet 6. <u>Restitution</u>		
	Totals:	\$ 35.00	\$	\$		
]	The determination of restitution is will be entered after such determin		Amended Judgment in	n a Criminal Case (AO 245C)		
] The defendant shall make restitution (including community restitution) to the following payees in the amount isted below.					
If the defendant makes a partial payment, each payee shall receive an approximately proportional payment unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.						
Name of Payee		<u>Total Loss</u> *	Restitution Ordere	ed Priority or Percentage		
	<u>Totals:</u>	\$_ \$_				
]	Restitution amount ordered pursua	ant to plea agreement \$	_			
]	The defendant must pay interest on restitution and a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6, may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).					
]	The court determined that the defe	endant does not have th	e ability to pay intere	est, and it is ordered that:		
	[] the interest requirement is wa	nived for the [] fine	[] restitution.			
	[] the interest requirement for the	ne [] fine [] re	estitution is modified	as follows:		

^{*} Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

AO 245B (Rev. 12/03) - Judgment in a Criminal Case - sheet 6 - Schedule of Payments

DEFENDANT: JOHN JOSEPH COTA CASE NUMBER: CR-08-00160-001 SI

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SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties are due as follows:

A	[x]	Lump sum payment of \$35.00 due immediately, balance due				
	[]	not later than, or				
	[]	in accordance with () C, () D, () E or () F below; or				
В	[]	Payment to begin immediately (may be combined with () C, () D, or () F below); or				
С	[]	Payment in equal (e.g. weekly, monthly, quarterly) installments of \$_ over a period of (e.g., months or years), to commence (e.g., 30 or 60 days) after the date of this judgment; or				
D	[]	Payment in equal (e.g. weekly, monthly, quarterly) installments of \$_over a period of(e.g., months or years), to commence _ (e.g., 30 or 60 days) after release from imprisonment to a term of supervision; or				
Е	[]	Payment during the term of supervised release will commence within (e,g, 30 or 60 days) after release from imprisonment. The court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or				
F	[]] Special instructions regarding the payment of criminal monetary penalties:				
Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.						
The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.						
	[]	Joint and Several				
		efendant and co- fendant Names	Case Numbers (including defendant number)	Total Amount	Joint and Several Amount	Corresponding Payee (if appropriate)
[] The defendant shall pay the cost of prosecution.						
	[] The defendant shall pay the following court cost(s):					
	[] The defendant shall forfeit the defendant's interest in the following property to the United States:					
					U 1 1 V	

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) penalties, and (8) costs, including cost of prosecution and court costs.

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AO 245B (Rev. 12/03) - Judgment in a Criminal Case - sheet 6 - Schedule of Payments

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